PRL STOCK & SHARE BROKERS PVT. LTD.
Member : National Stock Exchange of India Ltd. (NSE)
SEBI REGN.NO. INB 231220931 (CM) & INF 231220931 (F&O)
Member : Bombay Stock Exchange Ltd. (BSE)
SEBI REGN.NO. INB 011220937 (CM) & INF 011220937 (Derivatives)
CIN No. U67120AS2004PTC007342

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Policy on Handling of Good Till Cancelled (GTC) / Good Till Triggered (GTT) Orders

Dated: September 16, 2024

Policy Owner: PRL Stock & Share Brokers Pvt Ltd

Applicability: All clients utilizing GTC/GTT order facilities

1. Introduction

This policy outlines the procedures and protocols for managing Good Till Cancelled (GTC) and Good Till Triggered (GTT) orders offered by PRL Stock & Share Brokers Pvt Ltd, ensuring consistency and protection of client interests in compliance with guidelines from the National Stock Exchange of India (NSE) and the Securities and Exchange Board of India (SEBI).

2. Definitions

- Good Till Cancelled (GTC) Orders: Orders that remain active until they are either executed or explicitly canceled by the client.

- Good Till Triggered (GTT) Orders: Orders that are placed when a specified trigger condition is met. Once triggered, the order is sent to the exchange for execution.

3. Order Validity

- GTC Orders: Valid for a maximum period of 365 days from the date of placement or until canceled by the client, whichever is earlier.

- GTT Orders: Valid until the trigger condition is met or for a maximum period of 365 days from the date of placement, whichever is earlier.

4. Handling During Corporate Actions

In the event of corporate actions such as dividends, stock splits, mergers, or any event that impacts the price or structure of a security:
- Unexecuted GTC/GTT orders may be canceled or adjusted based on the nature of the corporate action.
- Clients will be notified of such actions affecting their GTC/GTT orders at least one day prior to the ex-date.
- It is the client's responsibility to review and, if necessary, modify or cancel their GTC/GTT orders in light of upcoming corporate actions.

5. Client Communication

- New Clients: Must acknowledge and sign this policy during the account opening process effective December 1, 2024.
- Existing Clients: Will be informed about this policy by December 1, 2024, via email or

For PRL STOCK & SHARE BROKERS PVT. LTD.
Gautam Lunkar
Director

other verifiable means such as physical delivery, SMS, or electronic instant messaging services, adhering to safeguards prescribed under Exchange Circular NSE/INSP/52604 dated June 10, 2022.
- In cases where the initial communication is undelivered, alternate channels will be utilized to ensure the client receives the information.

6. Policy Review and Updates

This policy will be reviewed periodically and updated as necessary to ensure compliance with regulatory requirements and to address any operational changes. Clients will be notified of any significant changes to this policy.

7. Contact for Queries

For any questions or clarifications regarding this policy, please contact:

Email: pukhraj_lunkar@yahoo.com

Phone: +91-8976004791

Note: This policy is formulated in accordance with NSE Circulars NSE/INSP/62528 dated June 21, 2024, and NSE/INSP/63789 dated September 6, 2024.

For PRL STOCK & SHARE BROKERS PVT. LTD.

Gaulam Lunkar

Director